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4-4-02  
M.L.

Docket No.: 1330.1013C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Donald A. SMALLEY, et al.

Serial No. 09/837,428

Group Art Unit: 2171

Confirmation No. 8921

Filed: April 19, 2001

Examiner: To be Assigned

For: SYSTEM FOR MANAGING REGULATED ENTITIES

SUPPLEMENTAL PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
MAR 26 2002  
Technology Center 2100

Sir:

Supplemental to the Preliminary Amendment filed April 19, 2001, and before examination of the above-identified application, please amend the application as follows:

IN THE CLAIMS:

Please ADD new claims 61-72 in accordance with the following:

61. (NEW) A method comprising:
- providing a database comprising data from a plurality of program areas in which entities are regulated;
  - adding permit data to the database, the permit data relating to permits allowing the regulated entities to operate in the program areas;
  - adding operational performance data to the database, the operational performance data relating to operational performance of the regulated entities in the program areas; and
  - accessing the database, having the added permit data and the added operational performance data, to enforce a respective permit.
62. (NEW) A method comprising:
- providing a database comprising data from a plurality of program areas in which entities are regulated;

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